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# Physics of solitons and solitons in optical fibers

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### History of solitons (I)



The **19<sup>th</sup> century** and the **firts half of the 20<sup>th</sup> century** were the triumph of **linear physics**: theoretical approaches were trying to avoid nonlinearities treating them as perturbations of linear theories.

In the **second half of the 20<sup>th</sup> century** the importance of an intrinsic analysis of **nonlinear phenomena** has been gradually understood and led to two concepts that revolutionalised prevoius ideas:

- Strange attractor: linked to <u>chaos</u> of systems with a <u>small number of degrees of freedom</u> and that are described by deterministic equations
- Soliton: linked to collective effects leading to <u>spatially coherent structures</u> resulting in self-organization of systems with a <u>very large number of degrees of freedom</u>

So, what is a *soliton*?

- It's a **solitary wave** (=spatially localized) with spectacular **stability** properties
- Its name make it seem a particle: it's a local maximum of energy density preserving shape and velocity as it moves
- It corresponds to a solution of a classical field equation which simultaneously exhibits wave and quasi-particle properties
- Equations with soliton solutions are completely integrable systems with an infinite number of degrees of freedom [1]

### History of solitons (II)



- 1834: first observation [2] by John Scott Russell, an hydrodinamic engineer, who devoted 10 years of research to solitons (only to find that linearised approaches were showing their non-existence)
- 1895: theory describing solitons thanks to an equation derived by Korteweg and de Vries [3]
- 1953: numerical experiment performed by Fermi, Pasta and Ulam with one of the first computer in Los Alamos (the result seemed to contradict thermodynamics)
- 1965: solitons explain the latter phenomenon, as demonstrated by Zabusky and Kruskal [4]
- 1973: Non Linear Schrodinger Equation (NLSE) for an optical fiber was proposed by Hasegawa and Tappert [5,6]
- 1980: experimental checks by Mollenauer, Stolen and Islam [7]

[2] Russell, J.S., *Rep. 14<sup>th</sup> Meet. Br. Ass. Adv. Sc.* 25, 311-90 (1844).
[3] Korteweg, D.J., de Vries, G., *Phyl. Mag. 5th Series* 36, 422-43 (1895).
[4] Zabusky, N.J., Kruskal, M.D., *Phys. Rev. Lett.* 15, 240-3 (1965).
[5] Hasegawa, A., Tappert, F., *Appl. Phys. Lett.* 23.3, 142-144 (1973).
[6] Hasegawa, A.J., Tappert, F., *Appl. Phys. Lett.* 23, 171-2 (1973).
[7] Mollenauer, L.F., Stolen, R.H., Islam, M.N., *Phys. Rev. Lett.* 45, 1095-8 (1980).



Solitary wave recreated in the Union Canal near Edimburgh in 1995, 161 years after John Scott Russell's discovery of what he called 'The great solitary wave' (Picture: Chris Eilbeck & Heriot-Watt University, 1995)



 First observation of a soliton was made in 1834 by the hydrodynamic engineer John Scott Russell while he was riding his horse along a canal near Edimburgh. The description that he gave was the following:

"I was observing the motion of a boat which was rapidly drawn along a narrow channel by a pair of horses, when <u>the boat suddenly stopped - not so the mass of water</u> in the channel which it had put in motion; it accumulated round the prow of the vessel in a state of violent agitation, then suddenly leaving it behind, rolled forward with <u>great velocity</u>, assuming the form of a large solitary elevation, a rounded, smooth and well defined heap of water, which continued its course along the channel, apparently without change of form or diminution of speed. I followed it on horseback and overtook it still rolling on at a rate of some eight or nine miles an hour, preserving its original figure some thirty feet long and a foot to a foot and a half in height. Its height gradually diminished and after a chase of one or two miles I lost it in the winding of the channel. Such in the month of August 1834 was my first chance interview with that singular and beautiful phenomenon which I have called the Wave of Translation."

### The discovery (II)

- Russell then devoted 10 years of research to solitons experimenting in canals, rivers, lakes and his backyard 10 m long tank, observing the following features [2]:
  - Depending on its **amplitude**, the initial perturbation can create one, two or several solitary waves;
  - Nonlinear waves have a speed higher than the speed  $c_0 = \sqrt{gh}$  of long-wavelength linear waves  $v = c_0(1 + A\eta)$  where A > 0;

h

- There are no solitary waves with a negative amplitude.
- Airy and Stokes strongly criticized Russell's work, who stopped his research in this field
- De Boussinesq theory of shallow water waves had solutions which agreed whit Russell's observations and these results were confirmed by Lord Rayleigh in 1876
- De Saint Venant in 1885 established a correct mathematical theory for these phenomena, which explained Airy and Stokes' mistakes

Schematic picture of the time evolution of a perturbation of the water surface in a reservoir, driven by a piston moving downward or upward. [1]





### The Korteweg-de Vries equation (I)

HUTT TUT

The Korteweg-de Vries (KdV) equation:

- is **derived** from the Euler equation for a nonviscous and incrompressible fluid, the boundary conditions at the bottom and at the surface and the assumption of an irrotational flow;
- is **valid** in the weakly nonlinear case;
- $c_0 = \sqrt{gh}$  speed of long-wavelength linear waves, *h* depth of the fluid,  $\eta(x, t)$  height of the surface above its equilibrium level. 1  $\partial n = \partial n = 3$   $\partial n = h^2 \partial^3 n$



Comparison between solitons having different amplitudes A. The left pulse, having moderate amplitude and speed, is broader than the faste right pulse, being  $L = \sqrt{2/A}$ . [1]

[1] Dauxois, T. and Peyrard, M., Cambridge University Press (2006).

$$\frac{1}{c_{0}}\frac{\partial\eta}{\partial t} + \frac{\partial\eta}{\partial x} + \frac{3}{2h}\eta\frac{\partial\eta}{\partial x} + \frac{h^{2}}{6}\frac{\partial^{3}\eta}{\partial x^{3}} = 0$$

$$\frac{1}{c_{0}}\frac{\partial\eta}{\partial T} + \frac{3}{2h}\eta\frac{\partial\eta}{\partial X} + \frac{h^{2}}{6}\frac{\partial^{3}\eta}{\partial X^{3}} = 0$$

$$\frac{\partial\phi}{\partial \tau} + 6\phi\frac{\partial\phi}{\partial\xi} + \frac{\partial^{3}\phi}{\partial\xi^{3}} = 0$$

$$\frac{\partial\phi}{\partial\tau} + 6\phi\frac{\partial\phi}{\partial\xi} + \frac{\partial^{3}\phi}{\partial\xi^{3}} = 0$$

$$\int \text{Dimensionless variables: } \phi = \frac{\eta}{h}, \xi = \frac{x}{x_{0}}, \tau = \frac{T}{T_{0}}$$

$$\int \text{Solution } A > 0$$

$$\int q = \eta_{0} \operatorname{sech}^{2} \left[ \sqrt{\frac{A}{2}} (\xi - 2A\tau) \right]$$

$$\int \text{Solution in the laboratory frame } \eta_{0} > 0$$

$$\eta = \eta_{0} \operatorname{sech}^{2} \left[ \frac{1}{2h} \sqrt{\frac{3\eta_{0}}{h}} \left( x - c_{0} \left[ 1 + \frac{\eta_{0}}{2h} \right] t \right) \right]$$

### The Korteweg-de Vries equation (II)



 $\frac{\partial \phi}{\partial \tau} + \phi \frac{\partial \phi}{\partial \xi} = 0$ 

- Also called Burgers-Hopf equation;
- In analogy with a linear differential equation,  $\phi$  is the speed of each component of the signal;
- The parts of the signal with largest amplitude  $\phi$  move faster than the parts with a smaller amplitude;
- The nonlinear term  $\phi(\partial \phi / \partial \xi)$  tends to promote the formation of steep fronts (or shock waves).



[1] Dauxois, T. and Peyrard, M., Cambridge University Press (2006).

**Equilibrium** between nonlinearity and dispersion gives rise to a permanent profile **soliton** solution: this equilibrium is **stable** 

 $\frac{\partial \phi}{\partial \tau} + 6\phi \frac{\partial \phi}{\partial \xi} + \frac{\partial^3 \phi}{\partial \xi^3} = 0$ 

- Linearization of the KdV;
- Plane wave solutions of the form  $\phi = Ae^{i(q\xi \omega\tau)}$  provided the dispersion relation  $\omega = -q^3$ ;

 $\frac{\partial \phi}{\partial \tau} + \frac{\partial^3 \phi}{\partial \xi^3} = 0$ 

- The waves have a phase velocity  $v_{\varphi} = \omega/q \propto q^2$ ;
- Thus we're dealing with a dispersive medium in which the Fourier components of a pulse propagate at different speeds, causing the broadening of the pulse.



7

### The Korteweg-de Vries equation (III)



**Permanent profile solutions** propagate at speed v while preserving their shape, therefore they can be written as  $\phi(\xi,\tau) = \phi(\xi - v\tau) = \phi(z)$  and the KdV becomes, using the notation  $\phi_z = \partial \phi/\partial z$ :

$$-v\phi_{z} + 6\phi\phi_{z} + \phi_{zzz} = 0$$

$$\frac{d}{dz}(-v\phi + 3\phi^{2} + \phi_{zz}) = 0$$

$$\phi_{zz} + 3\phi^{2} - v\phi + c_{1} = 0$$

$$\frac{1}{2}\phi_{z}^{2} + \phi^{3} - \frac{1}{2}v\phi^{2} + c_{1}\phi = c_{2}$$
Multipling by  $\phi_{z}$  and integrating in  $z$ 
Energy conservation for a particle with  $m = 1$ 

$$\frac{1}{2}\phi_{z}^{2} + V_{eff}(\phi) = c_{2}$$
 with  $V_{eff}(\phi) = \phi^{3} - \frac{1}{2}v\phi^{2} + c_{1}\phi$ 
Shape of the effective potential  $V_{eff}(\phi)$ 

$$(a)$$

$$V_{eff}(\phi) = \frac{1}{2}\phi + \frac{1}{2}\psi^{2} + c_{1}\phi$$

$$(b)$$

$$(b)$$

$$(b)$$

$$(b)$$

$$(c)$$

$$(b)$$

$$(c)$$

for <sup>·</sup>

### The Korteweg-de Vries equation (IV)



**Soliton solutions** are **spatially localised solutions**, meaning that  $\phi$ ,  $\phi_z$ ,  $\phi_{zz} \rightarrow 0$  when  $z \rightarrow \infty$ , thus it implies  $c_1 = c_2 = 0$  and



Shape of the effective potential  $V_{eff}(\phi)$  for v > 0 (a) and v < 0 (b). [1]

$$\frac{1}{2}\phi_z^2 + \phi^3 - \frac{1}{2}v\phi^2 = 0$$

$$dz = \frac{d\phi}{\sqrt{v\phi^2 - 2\phi^3}}$$

$$\phi = \frac{v}{2}\operatorname{sech}^2\left(\sqrt{\frac{v}{4}}z\right)$$

Variables separation

Integrating with the change of variable  $\phi = \frac{v \operatorname{sech}^2 u}{2}$ 

#### Soliton solution

- v > 0: particle at rest can leave the origin φ = 0 only moving towards the positive side (no negative φ amplitude solutions);
- v < 0: no constant energy bounded motion for a particle at rest leaving the origin  $\phi = 0$
- ⇒ hydrodynamic solitons are always supersonic

It can be demonstrated that dropping the spatially localised solutions requirement, **cnoidal waves** become the solution that is physically relevant for hydrodinamic waves:

$$\phi = \phi_0 - \frac{k^2 q^2}{2} \operatorname{cn}^2\left(\frac{qx}{2}, k\right) \quad \text{with} \quad \operatorname{cn}(x, k) = \begin{cases} \cos x \, , \ k = 0\\ \operatorname{sech} x \, , \ k = 1 \end{cases}$$

### The Korteweg-de Vries equation (V)



Besides permanent profile solutions, the KdV equation also has an infinity of other solutions called **multisoliton solutions** because, when  $|t| \rightarrow \infty$ , they tend toward a superposition of **several well separated solitons**.

Let us consider the **two soliton solution** where we introduce  $X_i = K_i (\xi - 4K_i^2 \tau)$ , i = 1,2 whit  $K_1 > K_2$ :

$$\phi = \frac{2(K_1^2 - K_2^2)}{(K_1 \coth X_1 - K_2 \tanh X_2)^2} \left(\frac{K_1^2}{\sinh^2 X_1} + \frac{K_2^2}{\cosh^2 X_2}\right)$$

- Solution  $\phi_2$  in the vicinity of  $\xi = 4K_2^2 \tau$  when  $\tau \to -\infty$ , is identical to a single KdV soliton with  $A_2 = 2K_2^2$  and  $v_2 = 4K_2^2$ :  $\phi_2 \cong 2K_2^2 \operatorname{sech}^2(X_2 - \Delta)$
- Solution  $\phi_1$  in the vicinity of  $\xi = 4K_1^2\tau$  when  $\tau \to -\infty$ , is identical to a single KdV soliton with  $A_1 = 2K_1^2$  and  $v_1 = 4K_1^2$ :

$$\phi_1 \cong 2K_1^2 \operatorname{sech}^2(X_1 + \Delta')$$

- In  $\tau \to -\infty$  we have two different solitons and since  $v_1 > v_2$  we get that in  $\tau \to +\infty$  soliton 1 has passed soliton 2:
  - Each soliton has kept its velocity but experiences a phase shift;
  - The collision isn't a simple superposition: amplitudes don't sum up.





Solitons collision: small amplitude sea waves and different amplitudes soliton simulation. [1] 10

### The chain of coupled pendula



Let us consider a chain of coupled pendula, moving around a common axis and linked to the neighbors by torsional springs:

$$\mathcal{H} = \sum_{n} \frac{I}{2} \left( \frac{d\theta_n}{dt} \right)^2 + \frac{C}{2} (\theta_n - \theta_{n-1})^2 + mgl(1 - \cos\theta_n)$$

Considering the momentum  $p_n = I\dot{\theta}_n$  and the hamiltonian equations

$$\frac{d\theta_n}{dt} = \frac{\partial \mathcal{H}}{\partial p_n}$$
 and  $\frac{dp_n}{dt} = -\frac{\partial \mathcal{H}}{\partial \theta_n}$ 

we get the nonlinear coupled differential equations

$$I\frac{d^2\theta_n}{dt^2} - C(\theta_{n+1} + \theta_{n-1} - 2\theta_n) + mgl\sin\theta_n = 0.$$

Solution are found in the **continuum limit approximation**:  $\theta_n - \theta_{n-1} \ll 1$  allows to Taylor expand

$$\theta_{n+1} + \theta_{n-1} - 2\theta_n \cong a^2 \frac{\partial^2 \theta}{\partial x^2} + \mathcal{O}\left(a^4 \frac{\partial^4 \theta}{\partial x^4}\right)$$

Scheme of a chain of coupled pendula. [1]

and introducing the quantities

$$\omega_0^2 = \frac{mgl}{I}$$
 and  $c_0^2 = \frac{Ca^2}{I}$ 

we get the partial differential equation known as the sine-Gordon (SG) equation:

$$\frac{\partial^2 \theta}{\partial t^2} - c_0^2 \frac{\partial^2 \theta}{\partial x^2} + \omega_0^2 \sin \theta = 0$$

[1] Dauxois, T. and Peyrard, M., Cambridge University Press (2006).

### The sine-Gordon equation (I)

A pendulum of the chain is subject to the potential

 $V(\theta) = mgl(1 - \cos\theta)$ 

so that the energy landscape oscillates regularly, with several energetically **degenerate ground states in**  $\theta = 2p\pi$ ,  $p \in \mathbb{Z}$ . This suggests the existence of **families of solutions** of the SG equation:

Solutions staying within a **single** potential valley

 $\lim_{x \to +\infty} \theta - \lim_{x \to -\infty} \theta = 0$ 

#### • Case 2

Solutions **moving** from one valley to the other

 $\lim_{x \to +\infty} \theta - \lim_{x \to -\infty} \theta = 2p\pi \quad (p \neq 0)$ 



Topology of the potential energy landscape of the SG model. [1]

Solutions are **topologically different** because their difference is a property of the whole solution: one must look at the whole chain of pendula to see a full turn from one end to the other of case 2.

The particular case of  $\theta \ll 2\pi$  falls into case 1 and it's called the **small amplitude** regime. We can take the **linear limit** sin  $\theta \approx \theta$  such that the SG equation reduces to

$$\frac{\partial^2 \theta}{\partial t^2} - c_0^2 \frac{\partial^2 \theta}{\partial x^2} + \omega_0^2 \theta = 0$$

with plane waves solutions  $\theta = \theta_0 e^{i(qx-\omega t)} + c.c.$  and dispersion relation  $\omega^2 = \omega_0^2 + c_0^2 q^2 \propto q^2 \Rightarrow$  dispersive waves

q



Case 1

### The sine-Gordon equation (II)



Let us look for **permanent profile solutions** of the SG equation, moving at velocity v and depending on the variable z = x - vt:

$$v^{2} \frac{d^{2} \theta}{dz^{2}} - c_{0}^{2} \frac{d^{2} \theta}{dz^{2}} + \omega_{0}^{2} \sin \theta = 0$$

$$\frac{d^{2} \theta}{dz^{2}} = \frac{\omega_{0}^{2}}{c_{0}^{2} - v^{2}} \sin \theta$$
Multiplying by  $d\theta/dz$  and integrating in z
$$\frac{1}{2} \left(\frac{d\theta}{dz}\right)^{2} = -\frac{\omega_{0}^{2}}{c_{0}^{2} - v^{2}} \cos \theta + C_{1}$$

$$\left(\frac{d\theta}{dz}\right)^{2} - \frac{\omega_{0}^{2}}{c_{0}^{2} - v^{2}} (1 - \cos \theta) = 0$$
Multiplying by  $d\theta/dz$  and integrating in z
$$C_{1} = \omega_{0}^{2}/(c_{0}^{2} - v^{2})$$

The solution  $\theta(z)$  describes the motion of a fictitious particle having zero total energy in the potential

 $\frac{1}{2}$ 

$$V_{eff}(\theta) = -\frac{\omega_0^2}{c_0^2 - v^2} (1 - \cos \theta)$$
Possible motion of a particle  
leaving  $\theta = 0$  at rest  $\Rightarrow$   
solitons travel at  $v < c_0$ 

$$C_0^2 - v^2 > 0$$

$$C_0^2 - v^2 < 0$$
A particle initially at rest in  
 $\theta = 0$  cannot move  $\Rightarrow$   
no permanent profile  
solution within same valley  
1] Dauxois, T. and Peyrard, M., Cambridge University Press (2006).

### The sine-Gordon equation (III)





### The sine-Gordon equation (IV)



The pendulum chain exhibits a  $2\pi$  torsion when it carries a soliton (Picture: Thierry Dauxois & Bruno Issenman, 2003)



A frozen kink, which was naturally created by snow fallen on a horizontal bar (Picture: Thierry Cretegny, 2001)



### The sine-Gordon equation (V)



• Solitons and antisolitons differ by their topological charge Q, which is a conserved quantity (stability)

$$Q = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \frac{\partial \theta}{\partial x} dx = \frac{1}{2\pi} \Big[ \lim_{x \to +\infty} \theta(x, t) - \lim_{x \to -\infty} \theta(x, t) \Big] = \begin{cases} +1 & \text{soliton} \\ -1 & \text{antisoliton} \end{cases}$$

- Validity of solutions  $\theta = 4 \arctan \exp \left[\pm \frac{x vt}{L}\right]$  in a discrete chain are measured through their spatial extent  $L = \frac{c_0}{\omega_0} \sqrt{1 \frac{v^2}{c_0^2}}$ 
  - Width of the soliton tends to zero when  $v \rightarrow c_0$ : Lorentz contraction as in relativity;
  - The width of a soliton at rest is  $L_0 = \frac{c_0}{\omega_0} = a \sqrt{\frac{c}{mgl}}$ : continuum limit is valid if  $L_0/a \gg 1 \Rightarrow C \gg mgl$  strong coupling.
- In the continuum limit, the energy of the soliton is obtained from the hamiltonian density  $\hbar = \mathcal{H}/a \propto \operatorname{sech}^2(z z_0)$ :

$$E = \int_{-\infty}^{+\infty} h(x,t) dx = \frac{8I\omega_0 c_0}{a\sqrt{1 - v^2/c_0^2}}$$

Multisoliton solution: soliton and antisoliton colision. [1]

- The inverse scattering method is a systematic approach to get multisoliton solutions (arbitrary number of solitons and antisolitons)
  - Soliton-antisoliton: attractive (if bound it's called *breather*)
  - Soliton-soliton and antisoliton-antisoliton: repulsive



### Nonlinear waves in the pendulum chain (I)



Let us consider again the SG equation in the continuum limit, where we use the shortened notation  $\frac{\partial^2 \theta}{\partial t^2} = \theta_{tt}$  and  $\frac{\partial^2 \theta}{\partial x^2} = \theta_{xx}$ 

$$\theta_{tt} - c_0^2 \theta_{xx} + \omega_0^2 \sin \theta = 0.$$

Let us analyse the **medium-amplitude regime**, where a weak **nonlinearity** comes into play from the Taylor expansion

$$\sin\theta = \theta - \frac{\theta^3}{6} + \mathcal{O}(\theta^5).$$

We expect plane waves to **self-modulate** due to nonlinearity: wave packets behave like solitons made of a **carrier** wave with fast time-space variation and of a slower **envelope** signal: **multiple scale expansion method** with  $\theta$  as a perturbative series of functions  $\phi_i$  of the independent variables  $T_i = \varepsilon^i t$  and  $X_i = \varepsilon^i x$ :



Successive stages of the self-modulation of a plane wave: solid line is the carrier wave, dashed line is the envelope. [1]

### Nonlinear waves in the pendulum chain (II)



Introducing the notation  $D_i = \partial / \partial T_i$  and  $D_{X_i} = \partial / \partial X_i$  we get

$$\frac{\partial^2}{\partial t^2} = (D_0 + \varepsilon D_1 + \varepsilon^2 D_2 + \cdots)^2 = D_0^2 + 2\varepsilon D_0 D_1 + \varepsilon^2 (D_1^2 + 2D_0 D_2) + \cdots,$$

$$\frac{\partial^2}{\partial x^2} = \left(D_{X_0} + \varepsilon D_{X_1} + \varepsilon^2 D_{X_2} + \cdots\right)^2 = D_{X_0}^2 + 2\varepsilon D_{X_0} D_{X_1} + \varepsilon^2 \left(D_{X_1}^2 + 2D_{X_0} D_{X_2}\right) + \cdots.$$

Inserting the expansion  $\theta = \varepsilon \phi_0 + \varepsilon^2 \phi_1 + \varepsilon^3 \phi_2 + \cdots$  into the SG equation  $\theta_{tt} - c_0^2 \theta_{xx} + \omega_0^2 \theta - \omega_0^2 \theta^3 / 6 = 0$  we get:

#### • **At order** *ɛ*:

$$\left(D_0^2 - c_0^2 D_{X_0}^2 + \omega_0^2\right)\phi_0 = \hat{L}\phi_0 = 0$$

linear in  $\phi_0$  with **plane waves** solutions

$$\phi_0 = A(X_1, T_1, X_2, T_2, \dots) e^{i(qX_0 - \omega T_0)} + c.c.$$

and dispersion relation

$$\omega^2 = \omega_0^2 + c_0^2 q^2.$$

Plane waves can be stable (PQ < 0) or subject to modulational instability (PQ > 0), where a small perturbation leads to a train of solitons, depending on the system condition.

### Nonlinear waves in the pendulum chain (III)



Introducing the notation  $D_i = \partial / \partial T_i$  and  $D_{X_i} = \partial / \partial X_i$  we get

$$\frac{\partial^2}{\partial t^2} = (D_0 + \varepsilon D_1 + \varepsilon^2 D_2 + \cdots)^2 = D_0^2 + 2\varepsilon D_0 D_1 + \varepsilon^2 (D_1^2 + 2D_0 D_2) + \cdots,$$

$$\frac{\partial^2}{\partial x^2} = \left( D_{X_0} + \varepsilon D_{X_1} + \varepsilon^2 D_{X_2} + \cdots \right)^2 = D_{X_0}^2 + 2\varepsilon D_{X_0} D_{X_1} + \varepsilon^2 \left( D_{X_1}^2 + 2D_{X_0} D_{X_2} \right) + \cdots.$$

Inserting the expansion  $\theta = \varepsilon \phi_0 + \varepsilon^2 \phi_1 + \varepsilon^3 \phi_2 + \cdots$  into the SG equation  $\theta_{tt} - c_0^2 \theta_{xx} + \omega_0^2 \theta - \omega_0^2 \theta^3 / 6 = 0$  we get:

• At order  $\varepsilon^2$ :

$$D_0^2 \phi_1 + 2D_0 D_1 \phi_0 - c_0^2 D_{X_0}^2 \phi_1 - 2c_0^2 D_{X_0} D_{X_1} \phi_0 + \omega_0^2 \phi_1 = 0$$

that we rewrite separating  $\phi_0$  and  $\phi_1$  and introducing  $\sigma = qX_0 - \omega T_0$ 

$$\hat{L}\phi_{1} = -2D_{0}D_{1}\phi_{0} - 2c_{0}^{2}D_{X_{0}}D_{X_{1}}\phi_{0} = 2i\omega\frac{\partial A}{\partial T_{1}}e^{i\sigma} + 2iqc_{0}^{2}\frac{\partial A}{\partial X_{1}}e^{i\sigma} + c.c.$$

We found a linear equation driven by resonance terms  $e^{i\sigma}$ , who make the responce grow linearly with time: we thus need the **solvability condition**:

$$\frac{\partial A}{\partial T_1} + \frac{qc_0^2}{\omega} \frac{\partial A}{\partial X_1} = 0 \Rightarrow \frac{\partial A}{\partial T_1} + v_g \frac{\partial A}{\partial X_1} = 0 \Rightarrow A(X_1, T_1, X_2, T_2, \dots) = A(X_1 - v_g T_1, X_2, T_2, \dots)$$

At this orderwe get the solution  $\phi_1 = 0$  or  $\phi_1 \propto \phi_0$ , thus no new terms add to the general solution

### Nonlinear waves in the pendulum chain (IV)



Introducing the notation  $D_i = \partial / \partial T_i$  and  $D_{X_i} = \partial / \partial X_i$  we get

$$\frac{\partial^2}{\partial t^2} = (D_0 + \varepsilon D_1 + \varepsilon^2 D_2 + \cdots)^2 = D_0^2 + 2\varepsilon D_0 D_1 + \varepsilon^2 (D_1^2 + 2D_0 D_2) + \cdots,$$

$$\frac{\partial^2}{\partial x^2} = \left( D_{X_0} + \varepsilon D_{X_1} + \varepsilon^2 D_{X_2} + \cdots \right)^2 = D_{X_0}^2 + 2\varepsilon D_{X_0} D_{X_1} + \varepsilon^2 \left( D_{X_1}^2 + 2D_{X_0} D_{X_2} \right) + \cdots.$$

Inserting the expansion  $\theta = \varepsilon \phi_0 + \varepsilon^2 \phi_1 + \varepsilon^3 \phi_2 + \cdots$  into the SG equation  $\theta_{tt} - c_0^2 \theta_{xx} + \omega_0^2 \theta - \omega_0^2 \theta^3 / 6 = 0$  we get:

#### • At order $\varepsilon^3$ :

 $\hat{L}\phi_2 = -D_1^2\phi_0 - 2D_0D_2\phi_0 + c_0^2D_{X_1}^2\phi_0 + 2c_0^2D_{X_0}D_{X_2}\phi_0 + \omega_0^2/6\phi_0^3 - 2D_0D_1\phi_1 + c_0^2D_{X_0}D_{X_1}\phi_1$ We found another driven linear equation whose terms  $e^{i\sigma}$  lead to divergence: to avoid it we impose the **solvability** condition  $\frac{\partial^2 A}{\partial t} = \frac{\partial^2 A}{\partial t} = \frac{\partial$ 

$$-\frac{\partial^2 A}{\partial T_1^2} + 2i\omega \frac{\partial A}{\partial T_2} + c_0^2 \frac{\partial^2 A}{\partial X_1^2} + 2iqc_0^2 \frac{\partial A}{\partial X_2} + \frac{3}{6}\omega_0^2 |A|^2 A = 0$$

Moving to a frame at velocity  $v_g$  with the variables  $\xi_i = X_i - v_g T_i$  and  $\tau_i = T_i$  getting  $\frac{\partial A}{\partial T_i} = \frac{\partial A}{\partial \tau_i} - v_g \frac{\partial A}{\partial \xi_i}$  and  $\frac{\partial A}{\partial X_i} = \frac{\partial A}{\partial \xi_i}$ , thus

$$(c_0^2 - v_g^2) \frac{\partial^2 A}{\partial \xi_1^2} + 2i\omega \left( \frac{\partial A}{\partial \tau_2} - v_g \frac{\partial A}{\partial \xi_2} \right) + 2iqc_0^2 \frac{\partial A}{\partial \xi_2} + \frac{1}{2}\omega_0^2 |A|^2 A = 0$$

$$i \frac{\partial A}{\partial \tau_2} + \frac{c_0^2 - v_g^2}{2\omega} \frac{\partial^2 A}{\partial \xi_1^2} + \frac{\omega_0^2}{4\omega} |A|^2 A = 0$$
We got the **nonlinear Schrödinger equation (NLSE)** for the envelope A

20

### The nonlinear Schrödinger equation (I)



Let us rewrite the **nonlinear Schrödinger equation (NLSE)** in its usual form

$$i\frac{\partial\psi}{\partial t} + P\frac{\partial^2\psi}{\partial x^2} + Q|\psi|^2\psi = 0$$

where P > 0 and Q depend on the particular studied problem. It's the potential term  $-Q|\psi|^2$  that gives the denomination nonlinear to this equation and we will see that for Q > 0, the solution  $\psi$  is localised such that it 'digs' its own potential well. Let us look for solutions of the form

$$\psi = \phi(x,t)e^{i\theta(x,t)}$$

where we suppose that both the carrier wave  $\theta$  and the envelope  $\phi$  are **permanent profile solutions** with different velocities

$$\phi(x,t) = \phi(x - u_e t)$$
 and  $\theta(x,t) = \theta(x - u_p t)$ .

Inserting the solutions into the NLSE and separating the real and imaginary part, we get:

$$-\phi\theta_t + P\phi_{xx} - P\phi\theta_x^2 + Q\phi^3 = 0 \Rightarrow u_p\phi\theta_x + P\phi_{xx} - P\phi\theta_x^2 + Q\phi^3 = 0$$
(1)  
$$\phi_t + P\phi\theta_{xx} + 2P\phi_x\theta_x = 0 \Rightarrow -u_e\phi_x + P\phi\theta_{xx} + 2P\phi_x\theta_x = 0$$
(2)

Multiplying (2) by  $\phi$  and integrating in x gives

$$-\frac{u_e}{2}\phi^2 + P\phi^2\theta_x = C$$

Since we are focusing to spatially localized solutions, we impose the boundary conditions  $\lim_{|x|\to\infty} \phi = \lim_{|x|\to\infty} \phi_x = 0$  getting C = 0

$$\theta_x = \frac{u_e}{2P}$$

### The nonlinear Schrödinger equation (II)



**Soliton solution** 

### The nonlinear Schrödinger equation (II)





[1] Dauxois, T. and Peyrard, M., Cambridge University Press (2006).

### Solitons in optical fibers (I)



#### **Optical fiber communication** is one of the **main applications** of solitons

When a signal propagates in an optical fibre, **nonlinear effects become important** because:

- small cross section  $\sim 10^{-6} \text{ cm}^2 \Rightarrow \text{high power densities } \sim \text{MW/cm}^2$ ;
- long distances makes nonlinear terms no longer negligible.

Optical fibers are made of an isotropic medium  $\Rightarrow \chi^{(2)} = 0$  and  $\chi^{(3)} \neq 0$  where the THG contribute is negligible wrt OKE

$$\vec{P}(\vec{r},t) = \varepsilon_0 \chi^{(1)}(\omega) \overrightarrow{E_0}(r) e^{-i(\vec{k}\cdot\vec{r}-\omega t)} + \varepsilon_0 \chi^{(3)}(\omega) \overrightarrow{E_0} |\overrightarrow{E_0}|^2 e^{-i(\vec{k}\cdot\vec{r}-\omega t)}$$

To find the structure of the wave in the fiber, we start from Maxwell's equations

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$
 and  $\vec{\nabla} \times \vec{H} = -\frac{\partial \vec{D}}{\partial t}$ 

that together give the wave equation

$$\nabla^2 \vec{E} - \vec{\nabla} (\vec{\nabla} \cdot \vec{E}) - \frac{1}{\varepsilon_0 c^2} \frac{\partial^2 \vec{D}}{\partial t^2} = 0$$

Focusing on the propagation in the **transverse direction** of the fiber, thus over small distances, it is sufficient to consider the **linear part of the polarization** into  $\vec{D} = \varepsilon_0 \vec{E} + \vec{P} = \varepsilon_0 \vec{E} + \varepsilon_0 \chi^{(1)} \vec{E} = \varepsilon(\omega) \vec{E}(\vec{r},t)$  giving

$$\nabla^2 \vec{E}(\vec{r},\omega) + \frac{\omega}{\varepsilon_0 c^2} \varepsilon(\vec{r},\omega) \vec{E}(\vec{r},\omega) = 0$$

with guided wave solutions and dispersion relation given by

$$\vec{E}(\vec{r},\omega) = \vec{U}(\vec{r}_{\perp},\omega)e^{i(kz-\omega t)}, \qquad k_n = \frac{\omega\beta_n(\omega)}{c}$$

23

### Solitons in optical fibers (II)



Focusing now on the propagation in the **longitudinal direction** of the fiber, we must account for nonlinearity

$$\vec{D} = \varepsilon_0 \vec{E} + \vec{P} = \varepsilon_0 \vec{E} + \varepsilon_0 \chi^{(1)} \vec{E} + \varepsilon_0 \chi^{(3)} \left| \vec{E} \right|^2 \vec{E} = \varepsilon(\vec{r}, \omega) \vec{E}(\vec{r}, \omega) + \varepsilon_0 \chi^{(3)} \left| \vec{E} \right|^2 \vec{E} = \vec{D}_l(\vec{r}, \omega) + \varepsilon_0 \chi^{(3)} \left| \vec{E} \right|^2 \vec{E}$$
  
Thus the wave equation becomes

$$\nabla^{2}\vec{E} - \frac{1}{\varepsilon_{0}c^{2}}\frac{\partial^{2}\vec{D}}{\partial t^{2}} = \frac{\chi^{(3)}}{c^{2}}\frac{\partial^{2}\left|\vec{E}\right|^{2}\vec{E}}{\partial t^{2}}$$
(3)

in which we want to consider a solution of the form

$$\vec{E}(\vec{r},t) = \phi(z,t)\vec{U}(\vec{r}_{\perp},\omega_0)e^{i(k_0z-\omega_0t)} + c.c.$$

The right hand side of (3) gives, with  $|U|^2 = 1$ :

$$\frac{\chi^{(3)}}{c^2} \frac{\partial^2 |\vec{E}|^2 \vec{E}}{\partial t^2} \cong -\frac{\omega_0^2}{c^2} \chi^{(3)} |\phi|^2 \phi U(0, \omega_0) e^{i(k_0 z - \omega_0 t)}$$
(4)

The first term of the left hand side gives:

$$\nabla^{2}\vec{E} = \nabla^{2}\phi Ue^{i(k_{0}z-\omega_{0}t)} + 2\vec{\nabla}\phi\vec{\nabla}Ue^{i(k_{0}z-\omega_{0}t)} + \phi\nabla^{2}\left(Ue^{i(k_{0}z-\omega_{0}t)}\right)$$
$$= \frac{\partial^{2}\phi}{\partial z^{2}}Ue^{i(k_{0}z-\omega_{0}t)} + 2i\frac{\partial\phi}{\partial z}k_{0}Ue^{i(k_{0}z-\omega_{0}t)} + \phi\nabla^{2}\left(Ue^{i(k_{0}z-\omega_{0}t)}\right)$$
(5)

While the second term of the left hand side can be calculated in Fourier space expanding to the second order  $\varepsilon(\omega)$  around  $\omega_0$ :

$$\frac{\partial^2 D(z,t)}{\partial t^2} = \left[ -\omega_0^2 \varepsilon(\omega_0) \phi - 2i\omega_0 \left( \varepsilon + \frac{\omega_0}{2} \frac{\partial \varepsilon}{\partial \omega} \right) \frac{\partial \phi}{\partial t} + \left( \varepsilon + 2\omega_0 \frac{\partial \varepsilon}{\partial \omega} + \frac{\omega_0^2}{2} \frac{\partial^2 \varepsilon}{\partial \omega^2} \right) \frac{\partial^2 \phi}{\partial t^2} \right] U(\omega_0) e^{i(k_0 z - \omega_0 t)}$$
(6)

### Solitons in optical fibers (III)



We now insert (4), (5) and (6) into (3) simplifying the factor  $Ue^{i(k_0z-\omega_0t)}$  and noticing that the prefactor of the  $\phi$  term vanishes. We get the equation determining the time evolution of the envelope:

$$\frac{\partial^{2} \phi}{\partial z^{2}} + 2ik_{0} \frac{\partial \phi}{\partial z} + \frac{2i\omega_{0}}{\varepsilon_{0}c^{2}} \left(\varepsilon + \frac{\omega_{0}}{2} \frac{\partial \varepsilon}{\partial \omega}\right) \frac{\partial \phi}{\partial t} - \frac{1}{\varepsilon_{0}c^{2}} \left(\varepsilon + 2\omega_{0} \frac{\partial \varepsilon}{\partial \omega} + \frac{\omega_{0}^{2}}{2} \frac{\partial^{2} \varepsilon}{\partial \omega^{2}}\right) \frac{\partial^{2} \phi}{\partial t^{2}} + \frac{\omega_{0}^{2}}{c^{2}} \chi^{(3)} |\phi|^{2} \phi = 0$$

$$k^{2} = \frac{\omega^{2} \varepsilon(\omega)}{c^{2} \varepsilon_{0}} \longrightarrow \frac{\partial k}{\partial \omega} = \frac{1}{v_{g}} = \frac{\omega\varepsilon(\omega)}{kc^{2} \varepsilon_{0}} + \frac{1}{2k} \frac{\omega^{2}}{c^{2} \varepsilon_{0}} \frac{\partial \varepsilon}{\partial \omega} \longrightarrow \frac{\partial}{\partial \omega} \left(\frac{k}{v_{g}}\right) = \frac{1}{v_{g}} \frac{\partial k}{\partial \omega} + k \frac{\partial}{\partial \omega} \left(\frac{1}{v_{g}}\right) = \frac{\varepsilon(\omega)}{c^{2} \varepsilon_{0}} + \frac{2\omega}{c^{2} \varepsilon_{0}} \frac{\partial \varepsilon}{\partial \omega} + \frac{\omega^{2}}{2c^{2} \varepsilon_{0}} \frac{\partial^{2} \varepsilon}{\partial \omega^{2}}$$

Evaluating in  $\omega = \omega_0$  the equation becomes

$$\frac{\partial^2 \phi}{\partial z^2} + 2ik_0 \left[ \frac{\partial \phi}{\partial z} + \frac{1}{v_g} \frac{\partial \phi}{\partial t} \right] - k_0 \frac{\partial}{\partial \omega} \left( \frac{1}{v_g} \right) \frac{\partial^2 \phi}{\partial t^2} - \frac{1}{v_g^2} \frac{\partial^2 \phi}{\partial t^2} + \frac{\omega_0^2}{c^2} \chi^{(3)} |\phi|^2 \phi = 0$$

which for the frame change  $\tau = t - z/v_g$  and  $\xi = z$  becomes the NLSE

$$i\frac{\partial\phi}{\partial\xi} - \frac{1}{2}\frac{\partial}{\partial\omega}\left(\frac{1}{v_g}\right)\frac{\partial^2\phi}{\partial\tau^2} + \frac{\omega_0^2}{2k_0c^2}\chi^{(3)}|\phi|^2\phi = 0$$

with soliton solutions

$$\phi = \phi_0 \operatorname{sech}\left[\sqrt{\frac{Q}{2P}}\phi_0\xi\right] e^{i\frac{Q\phi_0^2}{2}\xi}$$

### Solitons in optical fibers (IV)





26

1.8

Distance, x (km)

**[6**]

### Solitons in optical fibers (IV)



The NLSE for an optical fiber was proposed in **1973** by **Hasegawa** and **Tappert** [**5**,6] First experimental checks after two technical problems have been overcome:

- availability of mono-mode, thin, low-loss fibers;
- get the condition PQ > 0 where NLSE has soliton solutions:
  - $\chi^{(3)} > 0 \Rightarrow Q > 0$  always;
  - to get P > 0 we need  $\frac{\partial}{\partial \omega} \left( \frac{1}{v_g} \right) < 0$

Schematic plot of the group velocity dispersion versus the wavelength for a silica fiber. [1]



Dauxois, T. and Peyrard, M., Cambridge University Press (2006).
 Hasegawa, A., Tappert, F., *Appl. Phys. Lett.* 23.3, 142-144 (1973).
 Hasegawa, A., Tappert, F., *Appl. Phys. Lett.* 23, 171-2 (1973).



[5]

26

[6]

### Solitons in optical fibers (V)



In **1980**, **Mollenauer**, **Stolen** and **Islam** reported the first **experimental observation** in optical fibers of **pulse compression** and **pulse splitting**, that at certain critical power levels is characteristic of higher-order **solitons**:

- single-mode silica-glass **fibers** (low losses of 0.2 dB/km at  $\lambda \sim 1.3 \mu$ m);
- mode-locked color-center laser (F<sup>+</sup><sub>2</sub> centers in NaCl);
- autocorrelation measurement of laser and fiber output;
- **power** dependent trend:
  - P < 0.3 W broadening due to dispersion
  - P < 1.2 W narrowing up to the recovery of the input width at P = 1.2 W
  - P = 5 W reached narrowest width (2 ps)
  - Broad base rises and splits up to P = 11.4 W with the first well resolved splitting (three-fold)
  - P = 22.5 W five-fold splitting
- Computed NLSE solutions confirm that the observed autocorrelation traces closely agree with the behavior of N = 1, 2, 3, 4 solitons;
- The average power is constant:  $P_0 = P/N^2 = 1.24 \text{ W}$ .



FIG. 1. Schematic of the apparatus.  $M_1$ ,  $M_2$ ,  $A_1$ , and  $A_2$  constitute a simple beam-aiming device. At  $S_1$ , the beam is split between "fiber" and "laser" channels. The chopper alternately blocks the two beams before they enter the autocorrelator  $(S_2, M_5, M_6, M_7, CC_2, \text{etc.})$ ; the resultant photomultiplier signals (from noncollinear second harmonic generation in the ammonium dihydrogen phosphate crystal) are then seperated out electronically.  $F_1$ , a slab of Si, passes 1.55- $\mu$ m light and rejects room light.



FIG. 2. Below: Autocorrelation traces of the fiber output as a function of power. Above: Corresponding frequency spectra. Inset: Similar data for the direct laser output. There is no absolute intensity scale here; the various curves have been roughly normalized to a common height. Corresponding to the fiber data, from low to high power, the laser pulse widths were 7.2, 7.0, 6.1, 6.8, and 6.2 ps, respectively. See text.

[7] Mollenauer, L.F., Stolen, R.H., Islam, M.N., Phys. Rev. Lett. 45, 1095-8 (1980).

### Solitons in optical fibers (VI)



$$\phi = a\phi_0 \operatorname{sech} \left[ \sqrt{\frac{Q}{2P}} \phi_0 \tau \right]$$

that resulted to give rise to a soliton for  $a \in [0.5, 1.5]$ , that for values of an optical fiber corresponds to  $P \in [0.4W,$ 3.6W] thus demonstrating the **exceptional stability** of solitons to distrortions.

- Obtaining multisolitons is a more stringent test of validity for NLSE description: N is the largest integer such that  $N \le a + \frac{1}{2}$
- **Temporal** and **spectral restoration** of optical pulses is observed from a fiber with **length equal to the soliton period** at **powers** corresponding to **integral multiples of the amplitude** of the fundamental soliton [**9**].
- More solitons are in the solution, sharper is the pulse: from 7 ps input pulse to sub-ps output [10]



<sup>[8]</sup> Satsuma, J., Yajima, N., Progress of Th. Phys. Suppl. 55, 284-306 (1974).
[9] Stolen, R. H., Mollenauer, L. F., Tomlinson, W. J., Opt. Lett. 8.3, 186-188 (1983).
[10] Mollenauer, L. F., Stolen, R. H., Gordon, J. P., Tomlinson, W. J., Opt. Lett. 8.5, 289-291 (1983).

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## Thank you for the attention!